



INTEGRATION JOINT BOARD

Date of Meeting	11.09.2018
Report Title	Strategic Risk Register Review
Report Number	HSCP.18.068
Lead Officer	Alex Stephen, Chief Finance Officer
Report Author Details	<i>Name: Martin Allan</i> <i>Job Title: Business Manager</i> <i>Email Address: martin.allan3@nhs.net</i>
Consultation Checklist Completed	Yes
Directions Required	No
Appendices	a. Risk Appetite Statement b. Strategic Risk Register

1. Purpose of the Report

- 1.1. To present the Audit & Performance Systems Committee (APS) with a revised version of the Aberdeen City Health & Social Care Partnership's (ACHSCP's) strategic risk register and risk appetite statement.

2. Recommendations

- 2.1. It is recommended that the Audit & Performance Systems Committee:
- a) Approve the revised risk appetite statement, as attached at appendix A
 - b) Approve the revised strategic risk register, as attached at appendix B



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3. Summary of Key Information

- 3.1. This report presents a review of several elements of the IJB's risk management process including the risk appetite statement; strategic risk register and operational risk register.

Revision of the Risk Appetite Statement

- 3.2. Members of the Integration Joint Board considered the risk appetite statement during a development workshop on the 24th of April 2018. They recommended several revisions, which are included in the risk appetite statement at appendix A. The revisions are highlighted in tracked changes to the document.

Revision of the Strategic Risk Register

- 3.3. Members of the IJB also considered the risk register during a development workshop on 24th April 2018.
- 3.4. Key changes to the version presented resulting from this workshop include:
- Removal of risk '*failure of the IJB to function, make decisions in a timely manner etc*' (previously risk number 3), as per decision at IJB workshop.
 - The previous version of the risk register included two risks relating to partner organisations. These have been combined into one new risk, as per decision at the IJB workshop:
 - a) "There is a risk that the governance arrangements between the IJB and its partner organisations (ACC and NHSG) are not robust enough to provide necessary assurance within the current assessment framework – leading to duplication of effort and poor relationships"
 - b) "There is a risk that services provided by ACC and NHS corporate services on behalf of the IJB do not have the capacity, are not able to work at the pace of the IJB's ambitions, or do not perform their function as required by the IJB to enable it to fulfil its functions"



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- 3.5.** Each risk in the register was then reviewed and updated by appropriate senior managers, before being considered at the Executive Team meeting on the 22nd of August. Key changes to the strategic risk register resulting from this work include:
- Developing risk 1 (market failure) into part A (Adult Social Care) and part B (General Practice Services). This is to recognise that there is a risk of market failure in both of these areas, as they are externally delivered, however the underlying causes of the risk are different, as are the mitigations and controls as a result.
 - An increase to the risk rating of risk 10 (locality working) from medium to high.
 - Additional narrative explaining strategic risk concepts on page 2 and a visual summary on page 4.

Revision of the Operational Risk Register

- 3.6.** The Business Manager has also been working with the Senior Management Team to review the operational risk registers. A workshop session has been held to set the context of the process, based on the Board's Assurance Framework and follow up sessions with specialists in Datix and wider risk management have been arranged for September and early October 2018.

4. Implications for IJB

- 4.1.** Equalities – there are no direct implications arising directly as a result of this report.
- 4.2.** Fairer Scotland Duty - there are no direct implications arising directly as a result of this report.
- 4.3.** Financial - there are no direct implications arising directly as a result of this report.
- 4.4.** Workforce - there are no direct implications arising directly as a result of this report.
- 4.5.** Legal - there are no direct implications arising directly as a result of this report.



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- 4.6. Other - there are no direct implications arising directly as a result of this report.

5. Links to ACHSCP Strategic Plan

- 5.1. Ensuring a robust and effective risk management process will help the ACHSCP achieve the strategic priorities as outlined in its strategic plan, as it will monitor, control and mitigate the potential risks to achieving these.

6. Management of Risk

6.1. Identified risks(s)

6.2. Link to risks on strategic or operational risk register: all

- 6.3. **How might the content of this report impact or mitigate these risks:**
Ensuring a robust and effective risk management process will help to mitigate all risks.